

## **Mirror Birefringence in a Fabry-Perot Cavity and the Detection of Vacuum Birefringence in a Magnetic Field**

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**Abstract.** We discuss the effect of mirror birefringence in two optical schemes designed to detect the quantum-electrodynamics (QED) predictions of vacuum birefringence under the influence of a strong magnetic field,  $B$ . Both schemes make use of a high finesse Fabry-Perot cavity (F-P) to increase the average path length of the light in the magnetic field. The first scheme, we called the frequency scheme, is based on measurement of the beat frequency of two orthogonal polarized laser beams in the cavity. We show that mirror birefringence contributes to the detection uncertainties in first order, resulting in a high susceptibility to small thermal disturbances. We estimate that an unreasonably high thermal stability of  $-10^{-9}\text{K}$  is required to resolve the effect to 0.1 %. In the second scheme, which we called the polarization rotation scheme, laser polarized at  $45^\circ$  relative to the  $B$  field is injected into the cavity. The ellipticity and polarization rotation of the light exiting the cavity is measured [1]. Under this scheme, mirror birefringence contributes as a manageable correction of the QED effect. We estimate that a thermal stability of  $-1\text{ mK}$  is sufficient.

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The present work is motivated by the discontinuation of the Superconducting Super Collider (SSC) project, making available many magnets and cryogenic facilities to conduct research relating the retardation and absorption of light in a high magnetic field. The possibility of this type of experiment was first discussed by Iacopini and Zavattini [1]. Niet al [2] have also proposed a similar experiment using a Michelson type interferometer. The first experiment was attempted by Cameron et al. [3], using the optical scheme of Ref. [1]. Their sensitivity was snort of that required to observe the QED effect by a factor of 100. We refer the readers to Ref. [3] for an excellent description of the experiment and theory. Here we shall present just enough to lead the reader to the problems associated with the birefringence of the mirrors.

The experiment involves sending a laser beam perpendicular to the direction of an applied magnetic field,  $\mathbf{B}$ , and looking for the dependence of the speed of light on the polarization. The QED predicts that light with polarization parallel to the  $\mathbf{B}$  field is retarded more than light with polarization perpendicular to the  $\mathbf{B}$  field. In particle physics, the postulated existence of a pseudo-scalar particle known as the axion, is predicted to have a similar effect of much smaller magnitude. The theoretical predictions and the magnitudes of the effects are summarized below:

For QED, the difference in the refractive index is:

$$n_{//} - n_{\perp} = \frac{2\alpha^2 B^2}{151\pi} \quad (1)$$

where  $n_{//}$  and  $n_{\perp}$  are the refractive indices of light for polarization parallel and perpendicular to the magnetic field,  $\alpha = e^2/4\pi$  is the fine structure constant,  $m_e$  is the electron rest mass. The Lorentz-Heavyside units are used, where  $\hbar = c = 1$ ,  $\alpha = 1/137$ ; 1 T of field and 1 m of length are equivalent to 195  $\text{CV}^2$  and  $5.07 \times 10^6 \text{ eV}^{-1}$  respectively.

For 6T of field available with the SSC magnet,  $n_{//} - n_{\perp} = 1.43 \times 10^{-2}$ . If light polarized at  $45^\circ$  relative to  $\mathbf{B}$  is reflected an average of  $N$  times in an ideal mirror with no intrinsic birefringence, it will acquire an ellipticity,

$$\psi_{QED} = \pi N L (n_{//} - n_{\perp}) / \lambda, \quad (2)$$

where  $L$  is the length of the high field region,  $\lambda$  is the wave length of the laser light. The ellipticity is half the phase difference between the orthogonal components. In state-of-the-art mirrors  $N = 10^6$  is achievable. The wave length for Nd:YAG laser is  $1.064 \mu\text{m}$ . The clear aperture of the SSC magnet bore is 38 mm. We have optimized the magnet string length against diffractive loss of the laser [4]. We found that for this aperture the optimal  $L$  is 120m. With these values,  $\psi_{QED} = 5 \times 10^{-8}$  rad. In addition, QED predicts that there is no absorption of the light.

For axion detection, the predicted ellipticity is:

$$\psi_a = \frac{N g_{a\gamma\gamma}^2 B^2 \omega^2}{2 m_a^4} \left[ \frac{m_a^2 L}{2 \omega} - \sin \left( \frac{m_a^2 L}{2 \omega} \right) \right], \quad (3)$$

where  $m_a$  is the mass of the axion,  $g_{a\gamma\gamma}$  is the axion-two-photon coupling strength. In addition, the polarization angle is predicted to rotate by:

$$\epsilon_a = \frac{N g_{a\gamma\gamma}^2 B^2 \omega^2}{m_a^4} \sin^2 \left( \frac{m_a^2 L}{4 \omega} \right). \quad (4)$$

This rotation is caused by a small absorption of the light with polarization parallel to  $\mathbf{B}$ . Axions with mass greater than  $10^{-3}$  eV have been ruled out either by experiments or by

astrophysical observations. For mass less than  $10^{-3}$  eV, the effect is at least  $10^9$  times smaller than the QED effect; i.e.  $\psi_a \approx \epsilon_a < 10^{-16}$  rad.

## 1. Mirror Birefringence

The mirrors used in high finesse Fabry-Perot cavities are dielectric mirrors. These mirrors and their coating materials are not intrinsically birefringent. Mirror birefringence is caused by stress in the dielectric coating material. The stress results from the difference in the thermal expansion coefficients between the coating materials and the substrate material. The best dielectric mirrors reported in the literature [5] have a birefringence,  $\phi_m$ , between 1 and 10  $\mu$ rad per bounce. This means that after one reflection, linearly polarized light along the “fast” axis of the mirror will be phase shifted by  $\phi_m$  relative to light polarized along the “slow” axis. This mirror birefringence can vary by about 1 % from spot to spot over the mirror surface. When light is reflected  $10^6$  times in a F-P, the cumulative phase difference can be as large as -1 rad. Linear polarized light entering the cavity at  $45^\circ$  to the “fast” axis will emerge as almost circular polarized. To use these mirrors meaningfully for the purpose of detecting vacuum birefringence, the polarization of the laser in the cavity must be aligned along either the “fast” or the “slow” axis of the mirrors. In this case, the cavity resonant frequencies for the two orthogonal polarization modes will differ significantly. We calculated the difference,  $\Delta f_m$ , and compared it to the resonant width of the F-P to get an estimate of the effect of mirror birefringence on the finesse of the cavity if the laser polarization is misaligned with the “fast” (or “slow”) axis by a small angle  $\theta_0$ . We found that  $\Delta f_m = C\phi_m/4\pi L_c$ , where  $L_c$  is the length of the cavity. For a 150m long cavity and  $\phi_m$  of 10  $\mu$ rad per bounce,  $\Delta f_m = 1.6$  Hz. The free spectral range for this cavity is 1 MHz. For N of  $10^6$ , the full width at half maximum of the cavity fringe is  $\Delta f_{1/2} = 0.64$  Hz. Since  $\Delta f_m$  and  $\Delta f_{1/2}$  are of the same order of magnitude, the cavity resonance is not affected much by a small misalignment

angle  $\theta_o$ . However as we shall show later, the polarization rotation scheme is very sensitive to  $\theta_o$ .

## 2. Frequency Scheme

Figure 1 shows that the polarization of the two laser beams in the frequency scheme are orthogonal and are aligned with the “fast” and “slow” axis of the mirror. One of these polarization, is also parallel to  $\mathbf{B}$ . The difference in the resonance frequencies of the two orthogonal modes is:

$$f_{\perp} - f_{\parallel} = \frac{c}{2L_c} \left( \frac{L_c(n_{\parallel} - n_{\perp})}{\lambda} + \frac{\phi_m}{2\pi} \right), \quad (5)$$

Putting in the values mentioned above, it can be shown that mirror birefringence contributes a frequency difference of 1.6 Hz, while the QED effect contributes a mere  $2 \times 10^{-81}$  Hz. To resolve the QED effect to 0.170 would require the mirror birefringence to be stable to one part in  $10^{11}$ , during the 10 minute period of a magnet ramp. A major concern is the variation of the mirror birefringence with temperature. Although the temperature coefficient has not yet been measured, it can be reasonably estimated as follows: Mirrors are coated at 200° C, the stresses are induced when the coatings are subsequently cooled to room temperature. Therefore, if 10  $\mu$ rad per bounce is measured at room temperature the temperature coefficient is estimated to be 10  $\mu$ rad/200°C, or **0.05**  $\mu$ rad/°C per bounce. To have one part in  $10^{11}$  stability, the temperature must be stable to  $2 \times 10^{-9}$  °C. Although temperature measurement and control of better than  $10^{-9}$  K has been demonstrated [6], they are achieved with cryogenic technology and require careful shielding from heat radiation. Such technology is not yet available at room temperature. With the required kWatts of power in the cavity to obtain low enough photon noise for the measurement, the task required to stabilize the temperature of the mirrors is daunting. The main problem with the frequency scheme is that mirror birefringence contributes to

the uncertainties in first order. In the rotation scheme discussed below, we shall show that the mirror birefringence contributes as a correction of the small QED term.

Figure 1 to be inserted here

### 3. Polarization Rotation Scheme

This scheme is a variant of the scheme proposed by Iacopini and Zavattini [1]. A laser polarized at  $45^\circ$  with respect to the  $\mathbf{B}$  field is injected into the F-P. The mirror's "fast" or "slow" axis must be aligned with the direction of the polarization, as shown in Figure 2. In this configuration the linear polarization state of the laser is preserved. When  $\mathbf{B} = 0$ , any changes of the mirror birefringence does not alter this polarization state except if the principle axis of the mirror rotates with temperature. Such a possibility is second order and will be discussed later. When a strong  $\mathbf{B}$  field is present in the cavity, the vacuum birefringence causes the polarization state to be converted to slightly elliptical. However, the light exiting the cavity is a mixture of rotated light and elliptic light because of the birefringence of the mirror, which partially converts the elliptic light to rotated light. The experimental task is therefore to measure the elliptic component, the rotated component and the mirror birefringence, and mathematically compute the vacuum birefringence from these measurements. The mathematical relations are formulated below.

Figure 2 to be inserted here

Let  $\mathbf{i}$  and  $\mathbf{j}$  be unit vectors perpendicular and parallel to  $\mathbf{B}$  respectively; and  $\mathbf{i}', \mathbf{j}'$  be unit vectors rotated by  $45^\circ$ . Let the ellipticity after  $N$  bounces in an ideal mirror with no birefringence be  $\Psi$ . Thus  $\Psi = \Psi_{QED} + \Psi_a \approx \Psi_{QED}$ . We construct a  $45^\circ$  standing wave

inside the cavity and shift the phase of the  $i$  component forward and the  $j$  component backward by  $\Psi$ .

$$E = A F(r) \sin(\omega t - \Psi) i + D F(r) \sin(\omega t + \Psi) j, \quad (6)$$

where the amplitude  $A$  and  $D$  are matched as closely as possible to ensure good alignment at  $45^\circ$ ;  $F(r)$  describes the sinusoidal spatial variation. It remains constant throughout the analysis. The small misalignment angle  $\theta$  can be related to  $A$  and  $D$  by:

$$\tan\left(\frac{\pi}{4} + \theta\right) = \frac{D}{A} \quad \text{or} \quad 2\theta = \frac{A - D}{D} \quad (7)$$

The  $\theta$  can be decomposed as the sum of a DC misalignment term,  $\theta_0$ , a term that changes with temperature,  $\beta(T - T_0)$ , representing second order mirror birefringent effect, and axion polarization rotation,  $\epsilon_a$ , which varies with  $B$ , i.e.  $\theta = \theta_0 + \beta(T - T_0) + \epsilon_a$ , where  $T_0$  is an arbitrary initial temperature. In terms of  $\theta$ ,

$$\begin{aligned} E &= D F(r) [\sin(\omega t - \Psi) i + \sin(\omega t + \Psi) j + 2\theta \sin(\omega t - \Psi) i] \\ &= D F(r) [\sqrt{2}(\cos \Psi - \sin \Psi) \sin \omega t j - 2 \sin \Psi (\cos \omega t i - \sin \omega t j) \\ &\quad + \sqrt{2} \theta \sin(\omega t - \Psi) (i + j)]. \end{aligned} \quad (8)$$

In the expression above we have decomposed the polarization state of the light into linear polarized components and circular polarized components. The first term is the original laser polarized at  $45^\circ$ . The second term is the circular polarized light resulting from vacuum birefringence. The third term is caused by misalignment and axion rotation. Mirror birefringence is included into the calculation by shifting the phase along  $i$  by  $\Psi_m$  and along  $j$  by  $-\Psi_m$ , where  $\Psi_m = N\phi_m/2$ .

$$\begin{aligned}
E = 1 > F(r) \{ \sqrt{2} (\cos \Psi' - \sin \Psi') \sin (\omega t - \Psi'_m) \mathbf{j}' \\
- 2 \sin Y' [ \cos (\omega t + \Psi'_m) \mathbf{i}' - \sin (\omega t - \Psi'_m) \mathbf{j}' ] \\
+ \sqrt{2} \theta [ \sin (\omega t - \Psi' + \Psi'_m) \mathbf{i}' + \sin (\omega t - \Psi' - \Psi'_m) \mathbf{j}' ] \}.
\end{aligned} \tag{9}$$

Second term

$$= -2 \sqrt{2} \sin Y' \sin \Psi'_m \cos (\omega t + \pi/4) \mathbf{i}' - \sqrt{2} \sin \Psi' (\cos \Psi'_m - \sin \Psi'_m) [ \cos \omega t \mathbf{i}' - \sin \omega t \mathbf{j}' ] . \tag{10}$$

Third term

$$= 2 \theta (\cos \Psi'_m + \sin \Psi'_m) \sin (\omega t - \Psi') \mathbf{i}' + 2 \theta \sin \Psi'_m [ \cos (\omega t - \Psi') \mathbf{i}' + \sin (\omega t - \Psi') \mathbf{j}' ] . \tag{11}$$

From these relations, it can easily be seen that mirror birefringence only contributes as a correction to small quantities of order  $Y'$  and  $\theta$ . The dominant magnetic field dependent term is the second term. The third term depends on the magnetic field weakly through  $\epsilon_a$ , which is at least  $10^9$  times smaller. However, if  $\theta_0$  is large enough, the temperature variation of  $\Psi'_m$  can contribute as a significant noise source. To estimate the required alignment accuracy, we note that the second term must be known to  $5 \times 10^{-11}$  to obtain an accuracy of 0.1 % in  $Y'$  ( $Y' \approx 5 \times 10^{-8}$  rad). If the temperature of the mirror is stable to -1 mK,  $\Psi'_m$  is stable to  $-2 \times 10^{-5}$  rad. Since the third term is of order  $\theta \Psi'_m$ ,  $\theta$  must be no more than  $-10^{-6}$  rad. Alignment to such high precision is most easily achieved by injecting a small DC current through the coil of a Faraday cell. The orientation of the mirror's principle axis must be stable to within  $10^{-6}$  rad against temperature changes. This imposes a requirement on the thermal rotation coefficient  $\beta$ . For 1mK temperature stability,  $\beta$  must be less than 1 mrad/K. This value is not unreasonable for, mirror. However, the actual value must be experimentally verified to be within this specification.

Figure 3 shows the experimental scheme to detect the vacuum birefringence. The polarization rotation of the light from the reflected end of the cavity is measured



simultaneously while measuring the ellipticity from the transmitted end of the cavity. We refer the readers to Ref. [ 1 ] and [3] for a detailed description of the techniques for measuring polarization rotation and ellipticity. It is sufficient to note here that the technique requires modulating the laser polarization angle by  $10^{-3}$  rad at a frequency  $\omega_1$  of a few hundred Hertz. However, in the presence of mirror birefringence, it is not desirable to modulate the polarization angle of the light inside the cavity by an amplitude larger than  $10^{-6}$  rad. Doing so is equivalent to introducing an additional modulated term of  $\sim 10^{-3}$  rad in  $\theta$ , resulting in many terms with the modulated frequency and twice the modulated frequency, as can be seen by computing (second term + third term)<sup>2</sup>. This will also couple in undesirable thermal effects. Another reason is that the storage time  $\tau$  of the cavity is about 0.5 sec. The output light of the cavity will be an average of the light that entered the cavity in the last 0.5 sec. Thus the cavity will behave like a low pass filter with a time constant  $\tau$ . Any signal modulated at frequencies higher than  $1/(2\pi\tau)$  will be severely attenuated. Therefore the Faraday modulators for the detection of ellipticity and polarization rotation must only act on the light exiting the cavity,

Figure 3 to be inserted here

A second low power laser orthogonally polarized to the main laser (see Figure 2) is activated periodically to measure the mirror birefringence using the beat frequency method. Because  $\Psi_m$  only needs to be known to 0.1 % and is intrinsically stable to 0.001 % for 1 mK temperature variation, we do not expect that real-time measurement of  $\Psi_m$  is necessary.

The scheme presented above is sufficient to measure  $\Psi_{QED}$ . The more challenging task is to develop a scheme to detect axions. Axion detection can be accomplished by comparing the measured ellipticity with the prediction of QED. Any deviation over the

uncertainty of the measurement is a possible axion signal. This method has a limited accuracy because the comparison with the theory also requires high accuracy measurements of the magnetic field, the finesse of the cavity, and the length of the magnetic region. To carry out measurements of these quantities to better than 0.1 % will be difficult. At 0.1 %, higher order QED effects will become important and need to be carefully computed. In the absence of mirror birefringence, a more straightforward method is to measure the polarization rotation. Because the QED effect does not produce a polarization rotation, any observed rotation can be attributable to axion. But because of mirror birefringence, the QED ellipticity and the axion polarization rotation effects are mixed. Fortunately, the effect of mirror birefringence can be easily compensated by passing the light exiting the cavity through a thin slice of a birefringent material for coarse correction and a Kerr cell for fine confection. The thickness of the slice is chosen so that more than 99% of the mirror birefringence is corrected. The Kerr cell, which is a device to introduce an adjustable birefringence, is used to compensate the rest of the birefringence. This arrangement is shown in Figure 4 below. Two such cells are inserted into the beams exiting the cavity at points labeled C in Fig. 3. By applying a correction DC voltage across the cells, light with polarization along the “fast” axis of the mirror is slowed by just the right amount to cancel all birefringence effects of the mirror. The principle axis of the birefringent slice and that of the Kerr cell must be carefully aligned with the mirror’s principle axis. The voltage applied across the Kerr cell must be stable and controllable with high precision.

Figure 4 to be inserted here

The fine-tune adjustment of the Kerr cell is best performed by inserting either a Faraday modulator or a Kerr modulator into the light beam entering the cavity, i.e. at point A of Fig.3. The Faraday modulator allows introducing an AC rotation of -0.1

Hertz into the cavity (this frequency must be less than  $1/(2\pi\tau)$ ). When it is replaced by a Kerr modulator, an AC ellipticity is injected instead. The amplitude should be about 10 to 100 times larger than the QED effect (or  $\sim 10^{-6}$  rad). For polarization rotation detection, the DC voltages of the Kerr cell is adjusted so that the detector would not show any signal if an elliptic component is injected into the cavity. For ellipticity measurement, the Kerr cell voltage is adjusted so that the detector would not show any signal when a modulated rotation signal is injected into the cavity. These adjustments are performed at zero magnetic field. It should be possible to compensate mirror birefringence to 0.001 %, where the stability of the birefringence becomes the limiting factor.

This modified scheme not only allows separating the axion rotation signal from the QED ellipticity signal, it also allows another way to measure the QED ellipticity more directly.

Because of the non-uniformity of the mirror birefringence, it is important to keep the relative position of the beam fixed with respect to the mirror. We estimate the requirement on the position stability by assuming the birefringence to be 10  $\mu$ rad/bounce and the change in the birefringence over a distance of 1 cm to be  $\sim 1\%$ . For the frequency scheme the relative position must be stable to 10-12 m to meet the 0.1 % QED effect requirement. For the polarization rotation scheme, this requirement is relaxed by a factor of  $10^6$ .

## 5. Conclusion

We crudely estimated the thermal effect of mirror birefringence based on a model of thermally induced stress in the mirror coating. We assumed that at the substrate deposition temperature of 200° C, the mirror birefringence is zero. This estimation may

be off because the coating material condenses from vapor, which is likely to be at a much higher temperature. However, even if the temperature at which the birefringence is zero were 2000° C instead of 200° C, our estimation is only off by a factor of ten, which would not affect the main conclusions. Real measurement of the temperature coefficient of mirror birefringence is urgently needed. When measured values are available, the estimates must be updated. But in comparison with the frequency scheme, the polarization rotation scheme is always about  $10^6$  times less sensitive to any changes in the mirror birefringence. This result is independent of the cause of the changes or the magnitude of the changes.

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## FIGURE CAPTIONS

Figure 1: The alignment of the lasers in the frequency measurement scheme.

Figure 2: The alignment of the lasers in the rotation measurement scheme.

Figure 3: The optical scheme of the experiment to measure the  
ellipticity and polarization rotation simultaneously.

Figure 4: Scheme to correct mirror birefringence. This arrangement is to be inserted into  
the beams exiting the cavity at points labeled C in Figure 3.

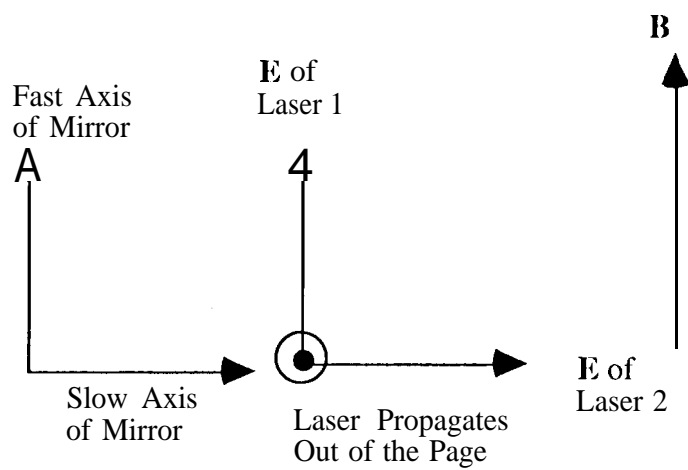


Figure 1:

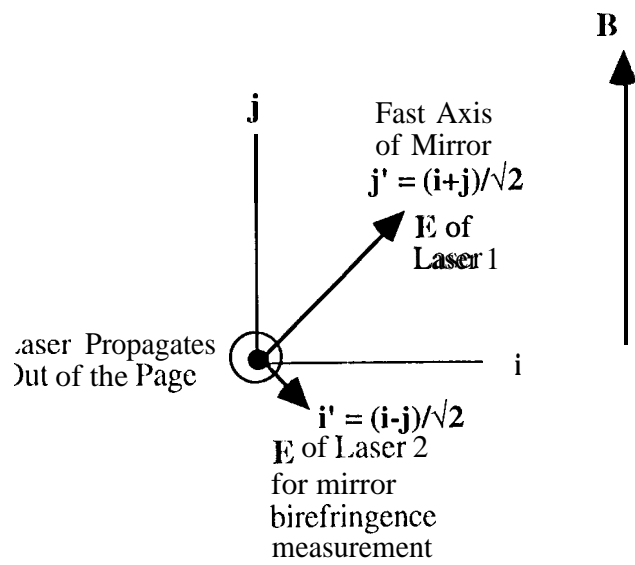


Figure 2:

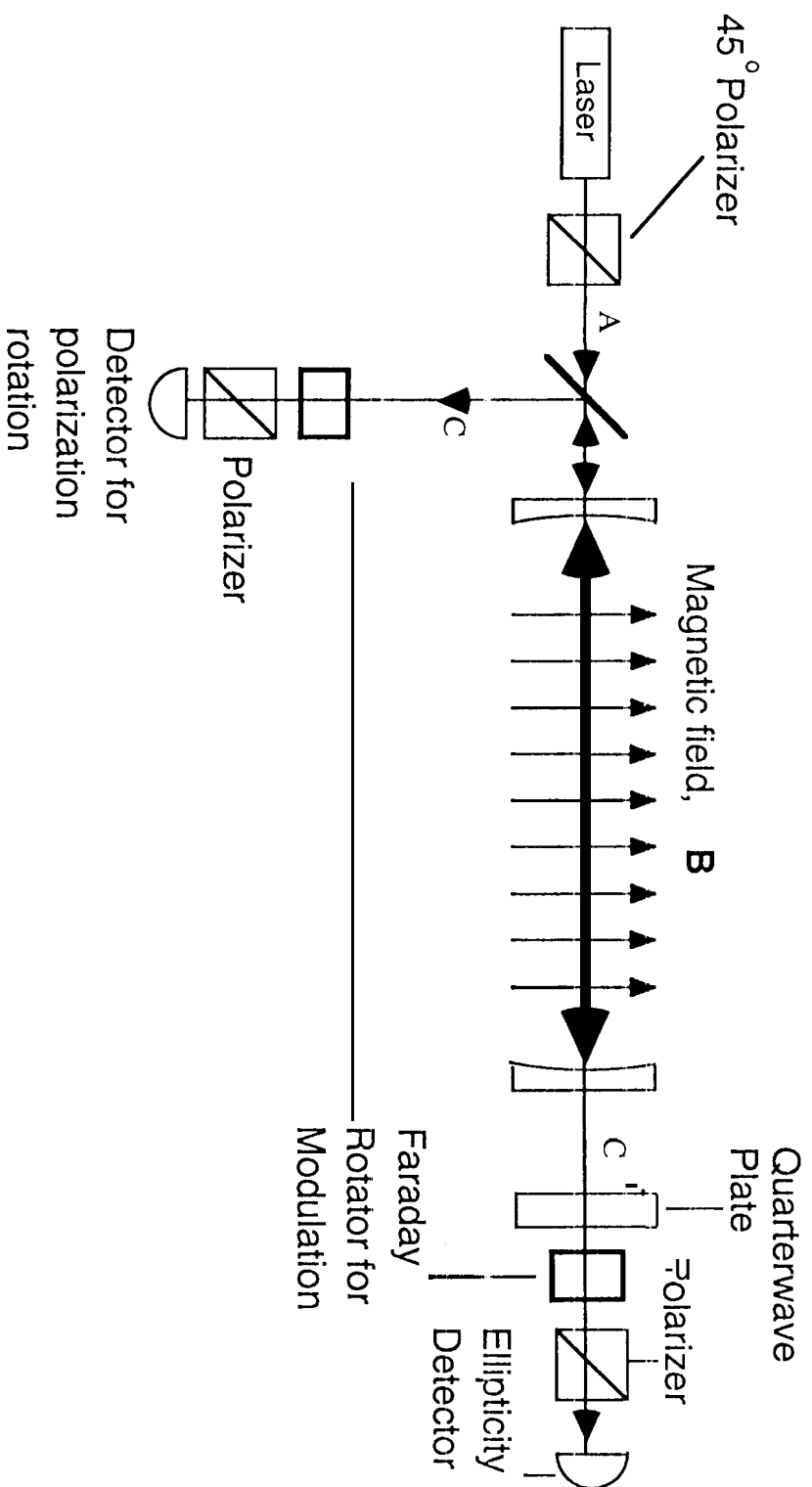


Fig. 3



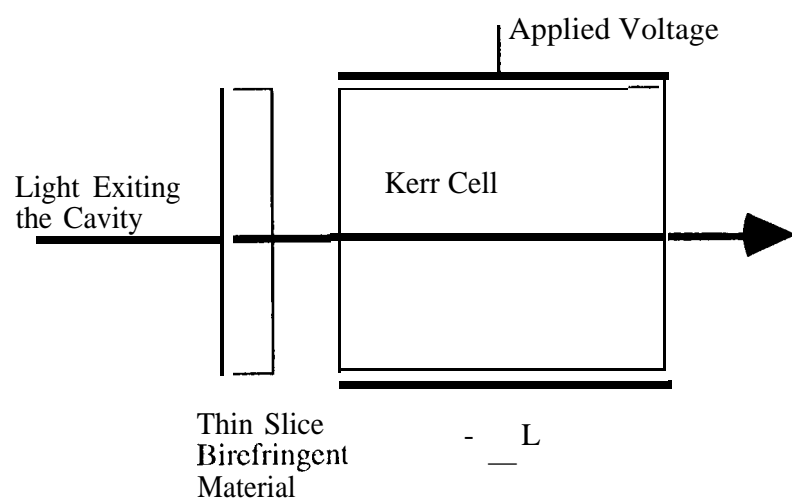


Figure 4: